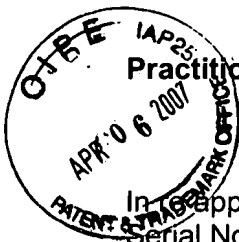


04-09-07 1639  
Express Label No.: EV 711 381 763 US



Practitioner's Docket No. 22477-712

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of: Eric Lietz

Group No.: 1639

Serial No.: 10/069,442

Examiner: Mark Lance Shibuya

Filed: June 28, 2002

Confirmation No.: 3219

For: Random Mutagenesis And Amplification Of Nucleic Acid

**Mail Stop Sequence**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

This replies to the Formalities Notice mailed **December 19, 2006**. A copy of the notice is enclosed.

**EXTENSION OF TIME**

- ☒ A Petition for Extension of Time Under 37 C.F.R. 1.136(a) is enclosed (PTO/SB/22).

**PRELIMINARY AMENDMENT**

- ☒ Enclosed is a Preliminary Amendment showing SEQ ID NO:3 on page 23 of the specification.

**NUCLEOTIDE AND/OR AMINO ACID SEQUENCE SUBMISSION**

**Specification Sequence Listing on the following:**

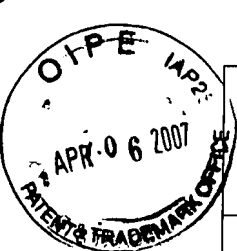
- ☒ Computer Readable Form (CRF)  
☐ CD-ROM or CD-R (2 copies); or  
☒ Paper  
☒ Statement verifying identity of above copies

The Commissioner is authorized to charge any additional fees which may be required, including petition fees and extension of time fees, to Deposit Account No. 23-2415 (Atty. Docket No. 22477-712).

Date: April 6, 2007

Shirley Chen, Ph.D. Esq.  
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## Notice to Comply

Application No.

101069,442

Applicant(s)

Lietz

Examiner

Shibuya

Art Unit

1639

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set in the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☒ 7. Other: Please see attached sheets.

#### Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (571) 272-2510

For CRF Submission Help, call (571) 272-2501/2583.

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